



11-24-04

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Attorney Docket No.: 59521 (48229)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S): Hiroyuki SHIMADA

APPLICANT: Seiko Epson Corporation

U.S.S.N.: 10/678,994

ART UNIT: 1765

FILED: October 3, 2003

EXAMINER: Not Yet Assigned

FOR: METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE

CERTIFICATE OF EXPRESS MAILING (Label No.: EV 437820543 US)

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By:

Nicole M. McKinnon

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on Form PTO-1449, attached hereto.

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II. COPIES

- a. X Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. , filed on . The following references were submitted to, and/or cited by, the Office in the prior application (s) and, therefore, are not required to be provided in this application.

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

- a. Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. X A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
English Abstracts (with machine translations) for all Japanese Patents are attached.
- c. The following additional information is provided for the Examiner's consideration:

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)
(check one box)

- a. within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1). No fee or certification is required.
- b. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2). No fee or certification is required.
- c. X Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under

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37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. ☐ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
or
b. ☐ See the certification below. No fee is required.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that

- a. ☒ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
b. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
c. ☐ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

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_____ Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.


 X No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. **04-1105**.

Respectfully submitted,

Date: November 22, 2004
Customer No.: 21874

By:



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Examiner

Not Yet Assigned

Group Art Unit

1765

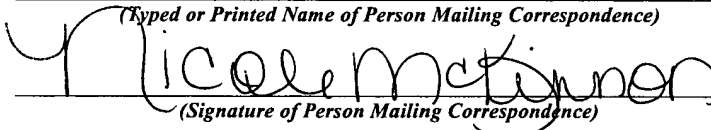
Invention:

METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE**NOV 22 2004****U.S. PATENT & TRADEMARK OFFICE**

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Supplemental Information Disclosure Statement (along with related documents)*(Identify type of correspondence)*

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Information Disclosure Statement PTO - 1449		Atty. Docket No: 59521 (48229)		Serial No. 10/678,994		
		Applicant(s): Hiroyuki SHIMADA				
		Filing Date October 3, 2003		Art Unit 1765		
UNITED STATES PATENT DOCUMENTS						
Exam. Initials	Ref. No.	Document Number	Date	Inventor(s)	Class	Filing Date
FOREIGN PATENT DOCUMENTS						
Exam. Initials	Ref. No.	Document Number	Date	Country or Office	Class	Translation
	BA	2002-050636	02/15/02	JAPAN	H01L	Abstract/ Machine translation
	BB	2000-298192	10/24/00	JAPAN	G21F	Abstract/ Machine translation
	BC	2000-298193	10/24/00	JAPAN	G21F	Abstract/ Machine translation
	BD	2000-208519	07/28/00	JAPAN	H01L	Abstract/ Machine translation
	BE	2003-163194	06/06/03	JAPAN	H01L	Abstract/ Machine translation
OTHER DOCUMENTS (INCL. TITLE, AUTHOR, DATE, PAGES, ETC)						
Exam. Initials	Ref. No.					
	CA	Copy of Japanese Office Action dated October 19, 2004				
Examiner:				Date:		